FATCA SELF CERTIFICATION FORM

Details under FATCA/Foreign tax laws (see instructions)

Sr. No.	Category	First applicant
1	**Is Country of Incorporation / Formation / Tax Residency is in India?**	☐ **Yes** ☐ **No**
2	**If no, please specify the Country(ies) of Incorporation / Formation / Tax residency**	Sr. No. | Country | Tax Residency No. | | | | | | | | | | | |
	Other Information	
1	**Are you a Specified US Person?** If yes, please provide Taxpayer Identification No.	_____ **Yes** ☐ **No** ☐
2	**We are a financial institution** {including an Foreign Financial Institution (FFI)} (If Yes, please provide the required information) A. **We are a** [tick any one]	a. Financial Institution incorporated in India ☐ b. Financial Institution incorporated in another country that has an intergovernmental agreement (IGA) with the US on FATCA ☐ c. FFI in a country without an IGA that has registered to obtain a GIIN ☐ d. Others_____ [please complete]
	B. **Global Intermediary Identification Number (GIIN) required if you are financial institution including FFI**	
3.	**If GIIN not available [tick any one]:**	a. Applied for on [_____] [insert date] b. Not required to apply/not obtained for the following reasons: (i) We are a Non-participating FFI ☐ (ii) We are a Non-reporting India Financial Institution under Annexure II of the Indian IGA because we are _____ [please describe] (iii) We are a Certified deemed-compliant FFI under U.S. Treasury Regulations ☐ (iv) We are an Exempt beneficial owner under U.S. Treasury Regulations ☐ (v) We have another reason: _____ [please describe]
4.	**We are a listed company** [whose shares are regularly traded on a recognized stock exchange]	☐ **Yes** ☐ **No** If Yes, specify the name of the Stock Exchange(s) where it is traded regularly: 1. _____ 2. _____

5.	**We are 'Related Entity' / Subsidiary / Controlled by a listed company**	☐ **Yes** ☐ **No** If Yes, specify the name of the listed company _____ Specify the name of the Stock Exchange(s) where it is traded regularly: 1. _____ 2. _____
6.	**We are an Active NFFE**	☐ **Yes** ☐ **No** If Yes, specify the nature of business _____
7.	**We are an Passive NFFE** (Please fill the Ultimate Beneficial Ownership details under point number 10)	☐ **Yes** ☐ **No** If Yes, please provide: 1. Nature of business: _____ 2. For all Controlling Persons who are citizens/tax residents/green card holders of a country other than India [regardless of whether they are also Indian Citizens/ Tax Residents], provide their Name, Address, Taxpayer Identification Number and Percentage of Holding by filling UBO Form & enclose additionally.
8	**Are you any one of the following**	Please Tick: ☐ Participating FFI ☐ Deemed Compliant FFI ☐ Exempt Beneficial Owner ☐ Non-Participating Financial Institution
9.	**Unable to confirm my status** (Incase this box is marked as yes, the statement will be taken as default that applicant is currently unable to confirm FATCA status and will confirm the same in Future)	Yes ☐

In case of any doubt or/and for any clarification about your organization's tax residency/any other FATCA aspects, please contact your tax advisor.

10. UBO declaration:

Name	**Country of Tax residency**	**Country of Citizenship**	**Address** (House no., street, city, country)	**Tax identification Number** (for each country)	**UBO Code** (Please refer Instructions)	**Holding Percentage**
UBO - 1						
UBO - 2						
UBO - 3						
UBO - 4						

A. If UBO is already KYC compliant, KYC complied proof to be enclosed. Else PAN / Tax Payer Identification Number / any other valid identity proof must be attached
B. If any of the UBO is resident / citizen of 'other than India' or citizen/tax resident/green card holder of country [like USA, UK], please provide Taxpayer ID Number/ Social Security Number [SSN]
C. Submit documentary proof like Shareholding pattern duly self-attested by Authorized Signatory / Company Secretary.
D. If number of UBOs are more than 4, information in the given format can be enclosed in additional sheet(s)

INVESTOR(S) DECLARATION & SIGNATURE(S)

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after consulting necessary tax professionals. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information / documentary proof as may be required at your end.

Signature of Sole/First Applicant	**Signature of Second Applicant**	**Signature of Third Applicant**

Instruction:

Details under FATCA/Foreign Tax Laws: Tax Regulations require us to collect information about each investor's taxresidency. In certain circumstances (including if we do not receive a valid self-certification !Tom you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in information provided, please ensure you advise us promptly. i.e., within 30 days. If you are classified as a passive NFFE for FATCA purposes, please include in the section relating to Ultimate beneficial Owner (UBO), details of an) specified US persons having controlling interest in the foreign country information field along with your US Tax Identification Number for FATCA purposes. Foreign Account Tax Compliance provisions (commonly known a~ FATCA) are contained in the US Hire Act 2010.