

Non-Resident Indian (NRI) Onboarding Fields

1A. Personal Information

1. First Name
2. Middle Name
3. Last Name
4. Father's First Name
5. Father's Last Name
6. Contact Number
7. Email Address
8. Gender
9. Date of Birth
10. Tax Residency (*Options: Non-Resident Indian, Foreign National*)
11. Citizenship

1B. Address Information

1. Zip/Postal Code
2. Country
3. State
4. City
5. Address Line 1
6. Address Line 2
7. Address Line 3
8. Mailing address same as above?

In the application process, if the response to the question “*Is your mailing address the same as the previously provided address?*” is “**No**”, then an additional set of address fields is dynamically displayed to capture the mailing address separately. These additional fields include:

1. Zip/Postal Code
2. Country
3. State
4. City
5. Address Line 1
6. Address Line 2
7. Address Line 3

This ensures that a distinct mailing address can be recorded if it differs from the applicant's primary address.

2. Other Details

1. Investment Objective
2. Investment Experience
3. Risk Tolerance

4. Annual Income
5. Occupation

3. Background Details

1. Are you a politically-exposed person?
2. Have you had any actions/proceedings against you in the past 3 years?
3. If the applicant selects “**Yes**” in response to the question “*Have you had any actions/proceedings against you in the past 3 years?*”, an additional text field is displayed to capture specific details. The following question is then shown:

“Details of any action by regulators, exchanges, or authorities against the applicant or key persons for securities dealings in the past 3 years.”

This allows the applicant to provide a detailed explanation of any such actions or proceedings for regulatory transparency.

4. Signature

4. KYC Verification

Proof of Identity

Options: Passport / Government National ID

If Passport is selected:

- Passport Number
- Passport Issuing Country
- Passport Expiry Date
- Front of the Passport (Document upload)
- Back of the Passport (Document upload)

If Government National ID is selected:

- Government National ID Number
- Government ID Issuing Country
- Government National Identity Card Front (Document upload)

Proof of Address

Options: Driving License / Energy or Utility Bill

If Driving License is selected:

- Front of Driving License (Document upload)

If Energy / Utility Bill is selected:

- Energy / Utility Bill (PDF only)

5. Claim of Tax Treaty

Primary Trigger:

If “No” is selected for “*Are you a U.S. citizen?*”, display:

- I certify that the beneficial owner is a resident of the country selected below within the meaning of the income tax treaty between the United States and that country.
- Are you legally required to obtain a Foreign TIN in your country?
- What is your National ID number?
- Do special rates and conditions apply to you?

Follow-up Logic:

- If “Yes” to “*Are you legally required to obtain a Foreign TIN in your country?*”, display:
 - Foreign Tax ID Number
- If “Yes” to “*Do special rates and conditions apply to you?*”, display:
 - Paragraph of the treaty identified as treaty country under which beneficial owner is claiming the provisions of Article
 - Claimed rate of withholding in %
 - Specify type of income
 - Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding.

If “Yes” to “*Are you a U.S. citizen?*”, none of the above treaty benefit fields are shown.

6. FATCA/CRS

1. Country of Residency
2. Tax Identification Number (TIN) / Functional Equivalent Number
3. TIN / Functional Equivalent Issuing Country

7. Nomination Details

If the applicant selects “Yes” for the declaration:

“I/We wish to make a nomination (as per details given below) and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.”

then the system will dynamically display the following nominee-related fields:

First Nominee:

- Nominee Name
- Share Percentage
- Relationship
- I would like to add second nominee

Second Nominee (if opted):

- Nominee Name
- Share Percentage
- Relationship
- I would like to add third nominee

Third Nominee (if opted):

- Nominee Name
- Share Percentage
- Relationship

If the applicant selects “No”, no further nominee-related fields will be displayed.

8. Agreement and Declaration

- VTIFSC Customer Account Agreement
- W8 BEN Individual
- FATCA Self Declaration Format
- Trading Disclosure
- Trading And Fractional
- Risk Disclosure Statement for Customer GMA
- CryptAsset Disclosures
- Compliants Handling Grievance Redressal
- AML KYC Policy